

Serial No. 10/080,568  
Docket No. YOR919980324US2

6

### REMARKS

An Excess Claim Fee Payment Letter is submitted herewith to cover the cost of one additional dependent claim.

Claims 11-18 and 26-38 are all the claims presently pending in the application. Claims 36-38 have been added to claim additional features of the claimed invention. Claims 11 and 26 have been amended to more clearly define the invention. Claims 11, 26 and 38 are independent.

It is noted that the claim amendments are made only for more particularly pointing out the invention, and not for distinguishing the invention over the prior art, narrowing the claims or for any statutory requirements of patentability. Further, Applicant specifically states that no amendment to any claim herein should be construed as a disclaimer of any interest in or right to an equivalent of any element or feature of the amended claim.

Claim 11 stands rejected upon informalities (presumably 35 U.S.C. § 112, second paragraph) and claim 32 stands rejected upon informalities (e.g., 35 U.S.C. § 112, first paragraph). Claims 11-12, 15-16, 18, 26, 28-29 and 33 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Durlam, et al. (U.S. Patent No. 5,940,319). Claims 13-14, 27 and 30-31 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Durlam, et al., in further view of Bronner, et al. (U.S. Patent No. 6,242,770). Claims 34-35 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Durlam, et al., in further view of Oda (U.S. Patent No. 5,994,749).

These rejections are respectfully traversed in the following discussion.

#### **I. THE CLAIMED INVENTION**

The claimed invention (as recited in claim 11) is directed to an array of microelectronic elements which includes a substrate of semiconductor material, a lower layer of dielectric material disposed with a lower surface in contact with the substrate and an upper surface in spaced adjacency thereto, a pattern of mutually electrically isolated conductive regions disposed within the lower layer of dielectric material, the conducting regions extending to the upper surface of the lower layer, an upper layer of dielectric material disposed with a lower surface thereof in contact with and bonded to the upper surface of the

Serial No. 10/080,568  
Docket No. YOR919980324US2

7

lower layer, and a plurality of nodes of semiconductor material disposed within the upper layer of dielectric material, each of the nodes being in electrical contact with only one of the conducting regions at the upper surface of the lower layer. Each conducting region includes a metal conductor, and a via including a diffusion barrier material. Importantly, the diffusion barrier material extends between the metal conductor and a node in the plurality of nodes and electrically connects the metal conductor with the node.

Conventional devices do not include a diffusion barrier between a metal conductor (e.g., a word line) and a semiconductor node (e.g., a silicon diode). Thus, the metal conductor reacts with the semiconductor node, thereby making the devices unreliable. As a result, the metal conductor is commonly made from a refractory metal which has a high resistance.

The claimed invention, on the other hand, includes a via formed on the metal conductor and including a diffusion barrier material which extends between the metal conductor and a node in the plurality of nodes and electrically connects the metal conductor with the node. The diffusion barrier material keeps the conductive region from reacting with the semiconductor material in the node. Therefore, the word lines can be formed of a low resistance metal such as copper and aluminum, for improved performance.

## II. THE 35 USC §112, FIRST AND SECOND PARAGRAPH REJECTIONS

Claim 11 stands rejected under 35 U.S.C. §112, second paragraph as indefinite.

Applicant submits, however, that this claim is not indefinite.

Specifically, the Examiner is mistaken. Line 14 of claim 11 recites "a metal conductor" which is the first instance of "metal conductor" in the claim. Therefore, this claim is not indefinite.

Claim 32 stands rejected under 35 U.S.C. §112, first paragraph as not enabled by the specification. Applicant submits, however, that this claim is fully enabled.

Specifically, Applicant notes that claim 32 has been amended to depend from newly added claim 38. Applicant notes that the invention of claim 32 is clearly discussed at page 18, line 3- page 19, line 2, and is clearly illustrated in Figure 7 of the present Application.

In view of the foregoing, the Examiner is respectfully requested to reconsider and

Serial No. 10/080,568  
Docket No. YOR919980324US2

8

withdraw this rejection.

### III. THE PRIOR ART REFERENCES

#### A. The Durlam Reference

The Examiner alleges that Durlam teaches the claimed invention as recited in claims 11-12, 15-16, 18, 26, 28-29 and 33. Applicant submits, however, that there are elements of the claimed invention which are neither taught nor suggested by Durlam.

Durlam discloses a magnetic random access memory (MRAM) which includes magnetic memory elements. A digit line and bit line are placed under and on top of the memory element. The lines are enclosed by a high permeability layer excluding a surface facing the memory element, which shields and focuses a magnetic field toward the memory element (Durlam at Abstract).

However, contrary to the Examiner's allegations, Durlam does not teach or suggest a conductive via which includes a *"diffusion barrier material extending between said metal conductor and a node in said plurality of nodes and electrically connecting said metal conductor with said node"* as recited, for example, in claim 11 and similarly recited in claim 26. As noted above, conventional devices do not include a diffusion barrier between a metal conductor (e.g., a word line) and a semiconductor node (e.g., a silicon diode). Thus, the metal conductor reacts with the semiconductor node making the devices unreliable. As a result, the metal conductor is commonly made from a refractory metal which has a high resistance. (Application at page 3, lines 3-9; page 8, lines 7-8).

The claimed invention, on the other hand, includes a via formed on the metal conductor and including a diffusion barrier material which extends between the metal conductor and a node in the plurality of nodes and electrically connects the metal conductor with the node. (Application at page 8, lines 3-16; Figure 5B). This via may be formed, for example, of refractory metal. The diffusion barrier material keeps a metal conductor (e.g., word line) from reacting with the semiconductor material (e.g., silicon diode). Therefore, the word lines can be formed of a low resistance metal such as copper and aluminum for improved performance (Application at page 8, lines 3-16).

Clearly, Durlam does not teach or suggest these novel features. Indeed, Durlam does

Serial No. 10/080,568  
Docket No. YOR919980324US2

9

not even discuss at least one of the problems (e.g., reaction between a semiconductor node and a metal conductor (e.g., a word line)) which the claimed invention was intended to address.

The Examiner alleges that the claimed invention is disclosed in Figures 5-8 and 17 of Durlam. However, this is clearly incorrect.

Specifically, the Examiner attempts to equate the metal conductor 37 (Figure 5) with the conductive via of the claimed invention. Further, the Examiner attempts to equate the barrier disclosed in Durlam at col. 3, lines 38-42 (a barrier between focusing layer 24 and conductive layer 26 in Figure 3) with the diffusion barrier material of the claimed invention.

Applicant notes that the barrier disclosed by Durlam has a function of providing "a barrier for Ni or Fe diffusion into the conductor" which is completely different than the function of the diffusion barrier material of the claimed invention. Indeed, an important purpose of the diffusion barrier material in the claimed invention is to prevent the underlying metal conductor from reacting with a semiconductor node, such as a silicon diode. Clearly, this is not contemplated by Durlam.

Moreover, the barrier in Durlam is formed between layers 24 and 26. Thus, Durlam certainly does not teach or suggest that a diffusion barrier material which extends between the metal conductor and a node in the plurality of nodes.

Therefore, Applicant submits that there are elements of the claimed invention that are not taught or suggested by Durlam. Therefore, the Examiner is respectfully requested to withdraw this rejection.

#### **B. The Bronner Reference**

The Examiner alleges that Durlam would have been combined with Bronner to form the claimed invention as recited in claims 13-14, 27 and 30-31. Applicant submits, however, that these references would not have been combined and even if combined, the combination would not teach or suggest each and every element of the claimed invention.

Bronner discloses a magneto-resistive memory cell which includes a substrate, monocrystalline diode formed in the substrate, a first conductor in the substrate and a second conductor formed above a magnetic tunnel junction formed on the diode (Bronner at

Serial No. 10/080,568  
Docket No. YOR919980324US2

10

Abstract).

However, Applicant submits that these references would not have been combined as alleged by the Examiner. Indeed, these references are directed to different matters. Specifically, the Durlam device is merely intended to prevent a magnetic memory element from thermal degradation during fabrication (Durlam at col. 1, lines 61-64), whereas Bronner is intended to minimize the resistance of a diode in a memory cell (Bronner at col. 3, lines 3-4). Clearly, no person of ordinary skill in the art would have considered combining these references.

Further, Applicant submits that the Examiner can point to no motivation or suggestion in the references to urge the combination as alleged by the Examiner. Indeed, the Examiner supports the combination by merely stating that "[i]t would have been obvious to form Durlam's diodes as single crystal Si diodes because according to Bronner, such single crystal Si diodes ... would provide high conductivity, high rectification, and low total resistance" which is insufficient to support the combination.

Moreover, neither Durlam, nor Bronner, nor any combination thereof teaches or suggests a conductive via which includes a *"diffusion barrier material extending between said metal conductor and a node in said plurality of nodes and electrically connecting said metal conductor with said node"* as recited, for example, in claim 11 and similarly recited in claim 26.

As noted above, unlike conventional devices which do not include a diffusion barrier between a metal conductor (e.g., a word line) and a semiconductor node (e.g., a silicon diode), the claimed invention, includes a via formed on the metal conductor and including a diffusion barrier material which extends between the metal conductor and a node in the plurality of nodes and electrically connects the metal conductor with the node. (Application at page 8, lines 3-16; Figure 5B). The diffusion barrier material keeps a metal conductor (e.g., word line) from reacting with the semiconductor material (e.g., silicon diode). Therefore, the word lines can be formed of a low resistance metal such as copper and aluminum for improved performance (Application at page 8, lines 3-16).

Clearly, Bronner does not teach or suggest these novel features. Indeed, Bronner does not even discuss at least one of the problems (e.g., reaction between a semiconductor node

Serial No. 10/080,568  
Docket No. YOR919980324US2

11

and a metal conductor (e.g., a word line)) which the claimed invention was intended to address.

Further, Applicant notes that the Examiner merely relies on Bronner as allegedly disclosing a single crystal Si diode. That is, the Examiner is not relying on Bronner as disclosing the novel features of the claimed invention.

In addition, Bronner merely discloses a diode 514 which is in the shape of a V-groove formed in an insulation layer 100. A metal conductor 525 is formed on the diode 514 and an oxide layer 530 is formed on the metal conductor 525 (Bronner at Figure 5B). This structure is completely unrelated to the claimed invention.

Therefore, Bronner clearly does not teach or suggest a via formed on the metal conductor and including a diffusion barrier material which extends between the metal conductor and a node in the plurality of nodes and electrically connects the metal conductor with the node. Thus, Bronner clearly does not make up for the deficiencies of Durlam.

Therefore, Applicant submits that these references would not have been combined and even if combined, the combination would not teach or suggest each and every element of the claimed invention. Therefore, the Examiner is respectfully requested to withdraw this rejection.

### C. The Oda Reference

The Examiner alleges that Oda would have been combined with Durlam to form the claimed invention as recited in claims 34-35. Applicant submits, however, that these references would not have been combined and even if combined, the combination would not teach or suggest each and every element of the claimed invention.

Oda discloses a semiconductor device which includes a semiconductor substrate having an element region and source and drain regions, a gate dielectric film containing nitrogen formed in the element region of said semiconductor substrate, a gate electrode formed on the gate dielectric film a first dielectric film formed adjacent to the gate electrode so as to define a side wall therefor, a second dielectric film formed so as to cover the gate electrode and the first dielectric film, the second dielectric film being doped with nitrogen, and a third dielectric film formed so as to cover the second dielectric film, the third dielectric

Serial No. 10/080,568  
Docket No. YOR919980324US2

12

film being formed of silicon nitride (Oda at Abstract).

However, Applicant submits that these references would not have been combined as alleged by the Examiner. Indeed, these references are directed to different matters. Specifically, the Durlam device is merely intended to prevent a magnetic memory element from thermal degradation during fabrication (Durlam at col. 1, lines 61-64), whereas Oda is merely intended to prevent nitrogen from diffusing out of a gate oxide and gate electrode (Oda at col. 3, lines 5-23). Clearly, no person of ordinary skill in the art would have considered combining these references.

Further, Applicant submits that the Examiner can point to no motivation or suggestion in the references to urge the combination as alleged by the Examiner. Indeed, the Examiner supports the combination by merely stating that “[i]t would have been obvious to form Durlam’s diodes as single crystal Si diodes because according to Bronner, such single crystal Si diodes ... would provide high conductivity, high rectification, and low total resistance” which is insufficient to support the combination.

Moreover, neither Oda, nor Bronner, nor any combination thereof teaches or suggests a conductive via which includes a *“diffusion barrier material extending between said metal conductor and a node in said plurality of nodes and electrically connecting said metal conductor with said node”* as recited, for example, in claim 11 and similarly recited in claim 26.

As noted above, unlike conventional devices which do not include a diffusion barrier between a metal conductor (e.g., a word line) and a semiconductor node (e.g., a silicon diode), the claimed invention, includes a via formed on the metal conductor and including a diffusion barrier material which extends between the metal conductor and a node in the plurality of nodes and electrically connects the metal conductor with the node. (Application at page 8, lines 3-16; Figure 5B). The diffusion barrier material keeps a metal conductor (e.g., word line) from reacting with the semiconductor material (e.g., silicon diode) (Application at page 8, lines 3-16).

Clearly, Oda does not teach or suggest these novel features. Indeed, Oda does not even discuss at least one of the problems (e.g., reaction between a semiconductor node and a metal conductor (e.g., a word line)) which the claimed invention was intended to address.

Serial No. 10/080,568  
Docket No. YOR919980324US2

13

Further, Applicant notes that the Examiner merely relies on Oda as allegedly disclosing a metal conductor which is formed of a different material than the via. That is, the Examiner is not relying on Oda as disclosing the novel features of the claimed invention.

In addition, Oda merely discloses device having a gate electrode film containing nitrogen. Nowhere does Oda teach or suggest microelectronic element array, let alone a via formed on a metal conductor (e.g., word line) and including a diffusion barrier material which extends between the metal conductor and a node and electrically connects the metal conductor with the node. Thus, Oda clearly does not make up for the deficiencies of Durlam.

Therefore, Applicant submits that these references would not have been combined and even if combined, the combination would not teach or suggest each and every element of the claimed invention. Therefore, the Examiner is respectfully requested to withdraw this rejection.

#### IV. FORMAL MATTERS AND CONCLUSION

In view of the foregoing, Applicant submits that claims 11-18 and 26-38, all the claims presently pending in the application, are patentably distinct over the prior art of record and are in condition for allowance. The Examiner is respectfully requested to pass the above application to issue at the earliest possible time.

Should the Examiner find the application to be other than in condition for allowance, the Examiner is requested to contact the undersigned at the local telephone number listed below to discuss any other changes deemed necessary in a telephonic or personal interview.



Serial No. 10/080,568  
Docket No. YOR919980324US2

14

The Commissioner is hereby authorized to charge any deficiency in fees or to credit any overpayment in fees to Assignee's Deposit Account No. 50-0510.

Respectfully Submitted,

Date: 11/18/03

Phillip E. Miller  
Registration No. 46,060

McGinn & Gibb, PLLC  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the foregoing Amendment was filed by facsimile with the United States Patent and Trademark Office, Examiner Phat X Cao, Group Art Unit # 2814 at fax number (703) 872-9318 this 18th day of November, 2003.



Phillip E. Miller  
Reg. No. 46,060